

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/566371

IN RE APPLICATION OF: Dieter BARFURTH, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP04/50992

GAU:

FILED: Herewith

EXAMINER:

FOR: POLYMER DISPERSION COMPRISING SILICON COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

283348US0PCT

 SERIAL NO. **107566371**
 New U.S. PCT Application
 Based on PCT/EP04/50992

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Dieter BARFURTH, et al.

FILING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,239,194	05/29/01	STANDKE, Burkhard et al.			
	AB	6,133,466	10/17/00	EDELMANN, Roland et al.			
	AE	6,395,858	05/28/02	MACK, Helmut et al.			
	AD	6,444,315	09/03/02	BARFURTH, Dieter et al.			
	AE	6,251,989	06/26/01	EDELMANN, Roland et al.			
	AE	6,403,228	06/11/02	MACK, Helmut et al.			
	AG	6,500,883	12/31/02	MACK, Helmut et al.			
	AH	6,841,197	01/11/05	STANDKE, Burkhard et al.			
	AI	2002/0127415	09/12/02	STANDKE, Burkhard et al.			
	AJ	6,784,272	08/31/04	MACK, Helmut et al.			
	AK	6,528,585	03/04/03	STANDKE, Burkhard et al.			
	AL	6,770,327	08/03/04	EDELMANN, Roland et al.			
	AM	2003/0134969	07/17/03	SCHLOSSER, Thomas et al.			
	AN	6,780,955	08/24/04	BARFURTH, Dieter et al.			
	AD	2004/0054048	03/18/04	BARFURTH, Dieter et al.			
	AP	4,172,189	10/23/79	MULLER, Dieter et al.			
	AQ	5,753,733	05/19/98	ECK, Herbert et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AP	1 172 412	01/16/02	EP		NO
	AS	1 045 009	10/17/00	EP		NO
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AY	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	Docket No.: 283348US0PCT	Serial No.: New Application
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Dieter BARFURTH, et al.	
	Filing Date: Herewith	Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	9350-0066-0	6,239,194	05/29/01		STANDKE, et al.
	9350-0084-0	6,133,466	10/17/00		EDELMANN, et al.
	9350-0100-0	6,395,858	05/28/02		MACK, et al.
	9350-0097-0X	6,444,315	09/03/02		BARFURTH, et al.
	9350-0110-0	6,251,989	06/26/01		EDELMANN, et al.
	9350-0178-0	6,403,228	06/11/02		MACK, et al.
	194128US0	6,500,883	12/31/02		MACK, et al.
	209348US0	6,841,197	01/11/05		STANDKE, et al.
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BARFURTH,
et al.

/S.S./ PER CLIENT 4,172,189 10/23/79

MULLER, et
al.

Examiner

/Satya Sastri/

Date Considered

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